

Document Title:	Continuous Disclosure Policy
Department:	Management
Approved by:	Board of Directors

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1. INTRODUCTION

Ainsworth Game Technology Limited (AGT), a publicly listed company trading on the Australian Stock Exchange (ASX), is committed to complying with its disclosure obligations and to ensuring that trading in its securities takes place in a market which is orderly and informed. The purpose of this policy is to assist employees, particularly executives, officers and managers, to understand AGT's continuous disclosure obligations and set out the procedure that must be followed for the release of information to the ASX.

2. POLICY

2.1. Continuous Disclosure Requirements

It is the policy of AGT to act at all times with integrity and to comply with disclosure requirements of the ASX listing Rules, ASX Guidance Notes, ASX Corporate Governance Principles and Recommendations and the Corporations Act.

2.2. Continuous Disclosure Obligations to ASX

Unless AGT can rely on the exceptions under ASX Listing Rule 3.1A, AGT will immediately notify the ASX in accordance with this policy if it becomes aware of any information concerning it that a reasonable person would expect to have a material effect on the price or value of its securities.

Matters that could be market sensitive are set out below. The list is by no means exhaustive but is provided as general guide:

- (a) A material change in the Company's financial performance;
- (b) A recommendation or declaration of a dividend or distribution, or a decision one will not be declared;
- (c) Changes in the Board, CEO, Company Secretary or the external auditors;
- (d) Giving or receiving a notice of intention to make a takeover offer if deemed material;
- (e) Mergers, acquisitions, divestments, joint ventures or changes in assets that are considered to be material;
- (f) Material agreement between the Company (or a related party or subsidiary) and a Director (or a related party of the director);
- (g) Legal proceedings against or allegation of any breach of the law, whether civil or criminal, by the Company;
- (h) The granting or withdrawal of a material license;
- (i) Decision on significant issues affecting the Company by regulatory bodies;

- (j) The appointment of a receiver, manager, liquidator or administrator in respect of loan, trade credit, trade debt, borrowing or securities held by the Company and its controlled entities;
- (k) A material change in the Company's accounting policy;
- (l) Natural disasters or accidents that have particular relevance to the business of the Company and its controlled entities; or
- (m) Information about the beneficial ownership of shares obtained by the Company under the Corporations Act.

2.3. Rumours and Speculation

Subject to its obligations under the ASX Listing Rules, AGT will not generally comment on rumours or speculation, including market rumours and media speculation.

2.4. Briefing, meetings, conference calls with analysts or investors

As part of AGT's management of investor relations and to enhance analyst's understanding of its background and technical information, it will from time to time conduct briefings with analysts or investors in the following manner:

- (a) One-on-one discussions;
- (b) Group briefings; and
- (c) Conference calls.

Information provided to, and discussions with, existing and potential institutional and retail investors, media and analysts are subject to this policy. AGT's policy for conducting these briefings is not to disclose any information which is, or potentially is, material price sensitive information, that has not been announced to ASX and the market generally. No briefing should be held during pre-results period.

3. PROCEDURE

The following procedures are to be followed when any information concerning AGT is being considered for disclosure to the ASX:

- (a) **Identification and notification of material price sensitive information** - as soon as a director, officer or employee of the Company or its controlled entities becomes aware of material price sensitive information that has not been previously released by ASX, he or she should immediately notify the Company Secretary;
- (b) **Review of material price sensitive information** - after receiving any material price information, the Company Secretary will review the information and determine whether the information is required to be disclosed. If necessary, the Company Secretary may consult the Board, the CEO and/or external consultants;
- (c) **Prepare external announcement** - if the information is required to be disclosed, the Company Secretary will prepare a draft announcement. Such announcements should be factual, relevant, and expressed in an objective and clear manner;

- (d) **Obtain sign off** - announcements that are determined to contain material price sensitive information must be signed off by the Board. Announcements that are not determined to contain material price sensitive must be signed off by the CEO or Chairperson;
- (e) **Lodge announcement** - the Company Secretary will lodge the approved announcement with ASX electronically; and
- (f) **Post announcement** - after receiving confirmation from ASX that the announcement has been released to the market, the announcement will be posted on AGT's website and a copy of the announcement is promptly circulated to the board, relevant regulators and compliance department.

4. **BREACH OF POLICY**

It is the responsibility of all directors, management, employees, consultants and contractors to be familiar with the contents of this policy and to act accordingly so as not to breach any section of this policy.

Breaches of this policy will be viewed seriously, and disciplinary action will be taken, up to and including termination of employment or initiating legal proceedings.

Additionally, a breach of the law relating to continuous disclosure can have serious consequences, including criminal and civil liability, for the Company and an individual.

5. **INADVERTENT DISCLOSURE**

If a director, officer or employee of the Company and its controlled entities becomes aware that there may have been inadvertent disclosure of material price sensitive information which has not been disclosed to ASX or confidential Company information may have been leaked, he or she should immediately notify the Company Secretary so consideration can be given to whether disclosure to the ASX is required.

6. **TRADING HALTS**

In order to facilitate a market which is orderly and informed and not false, AGT may need to request a trading halt from ASX. The CEO and Company Secretary in consultation with the Board will make all decisions in relation to the trading halt.

7. **ROLES AND RESPONSIBILITIES**

This Policy will be administered by several key officers within AGT. However, all of the directors, officers and employees of AGT and its controlled entities at every level have a role to play to ensure that the Company achieves the objectives of this Policy.

The responsibilities under this Policy are outlined as below:

- (a) **Board of Directors** - the Board will be responsible for reviewing and approving this policy and for any subsequent amendments recommended by the Company Secretary;

- (b) **Company Secretary** - responsible for the overall administration of this policy and all communications with ASX;
- (c) **Authorised spokespersons** - only the Chairperson, the CEO, the CFO/Company Secretary, and other persons authorised by the Board from time to time are authorised to speak on behalf of AGT; and
- (d) **All employees** - all employees of the Company and its controlled entities are responsible for reporting to the Company Secretary if they become aware of any information that may be material price sensitive information arising in their area of responsibility that has not been previously disclosed.

8. REVIEW OF POLICY

The Board should regularly review this policy and make modification if deemed necessary from time to time.

9. ADDITIONAL INFORMATION

If you have any questions in relation to this policy, please contact the Company Secretary

AMENDMENTS / CHANGE HISTORY

Rev	Date	Description of Changes	Reviewed by	Approved by
A	17/09/2015	/ Created document	M.Ludski L.Mah	AGT Board of Directors
B	22/09/2021	/ Review content of document / New document format / Revision of document	M.Ludski L.Mah	AGT Board of Directors